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Attorney Docket No. 15437-0589

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew G. Tucker, et al.

Serial No.: 10/763,147

Filed on: January 21, 2004

) Confirmation No.: 6464

) Examiner: NYA

) Group Art Unit No.: 2157

For: GLOBAL VISIBILITY CONTROLS FOR OPERATING SYSTEM PARTITIONS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Modified Information Disclosure Statement

DISCLOSURE PURSUANT TO 37 CFR §1.56  
OF RELATED APPLICATION(S)

Sir:

Applicant(s) would like to bring to the Examiner's attention that the following applications,

	U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
NP/	10/833,474	4/27/2004	15437-0582
NP/	10/767,118	1/28/2004	15437-0583
NP/	10/767,117	1/28/2004	15437-0584
NP/	10/744,360	12/22/2003	15437-0588
NP/	10/767,003	1/28/2004	15437-0593
NP/	10/762,067	1/20/2004	15437-0597
NP/	10/762,066	1/20/2004	15437-0598
NP/	10/767,235	1/28/2004	15437-0599
NP/	10/771,827	2/3/2004	15437-0600
NP/	10/771,698	2/3/2004	15437-0601
NP/	10/768,303	1/29/2004	15437-0602

Referred to as the "related applications", contain subject matter that is related to the subject matter of the present application. The related applications may contain one or more claims that are substantially similar to one or more claims in the present application, and those claims may

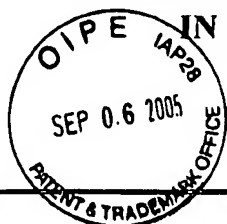
Examiner  
Signature  
SUN030232NP

/Venkat Perungavoor/

Date  
Considered

06/28/2007

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>	<b>ATTY. DOCKET NO.</b> 15437-0589	<b>SERIAL NO.</b> 10/763,147
	<b>APPLICANT:</b> Andrew G. Tucker, et al.	
	<b>FILING DATE:</b> January 21, 2004	<b>GROUP:</b> 2157



U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/VP/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 10 June 2005 (6 pgs) - attached.	
/VP/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) - attached.	

<b>Examiner Signature</b> /Venkat Perungavoor/	<b>Date Considered</b> 06/28/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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NPI		2002/0156824	A1	Armstrong et al.	10-24-2002		

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Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
NPI		EP	1300766	A	Sun Microsystems Inc.	04-09-2003		

<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
NPI		EUROPEAN PATENT OFFICE, "European Search Report," application No. 04252689.7, mailing date July 28, 2005, 3 pages.	
NPI		Current Claims, European patent application 04252689.7, 6 pages.	
NPI		SUN MICROSYSTEMS, "Sun Enterprise <sup>TM</sup> 1000 Server: Dynamic System Domains," White Paper Online, February 26, 2003, retrieved from the internet at < <a href="http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1">http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1</a> >, retrieved on 06/21/2005, XP-002332946, 7 pages.	

<b>Examiner Signature</b>	/Venkat Perungavoor/	<b>Date Considered</b>	06/28/2007
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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/NP/		2002/0161817	A1	Dorofeev et al.	10-31-2002		
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<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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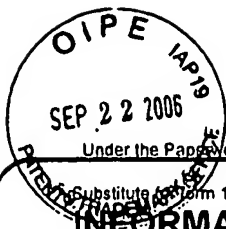
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
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Examiner Signature	/Venkat Perungavoor/	Date Considered	06/28/2007
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PTO/SB/08a (07-05)

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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/763,147  
Filing Date January 21, 2004  
First Named Inventor Andrew G. Tucker  
Art Unit 2157  
Examiner Name NYA  
Attorney Docket Number 15437-0589

Sheet 1

of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/VP/		US-2002/0120660 A1	08-29-2002	Hay et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Date  
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06/28/2007

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Modified Information Disclosure Statement**



The Examiner is hereby notified that the present application is related to the following related application(s):

**DISCLOSURE OF RELATED APPLICATIONS**

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTIONS**

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
10/762,066	01/20/2004	07/10/2006	15437-0598

The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

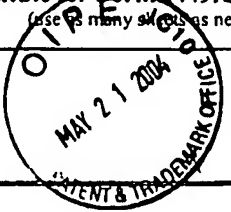
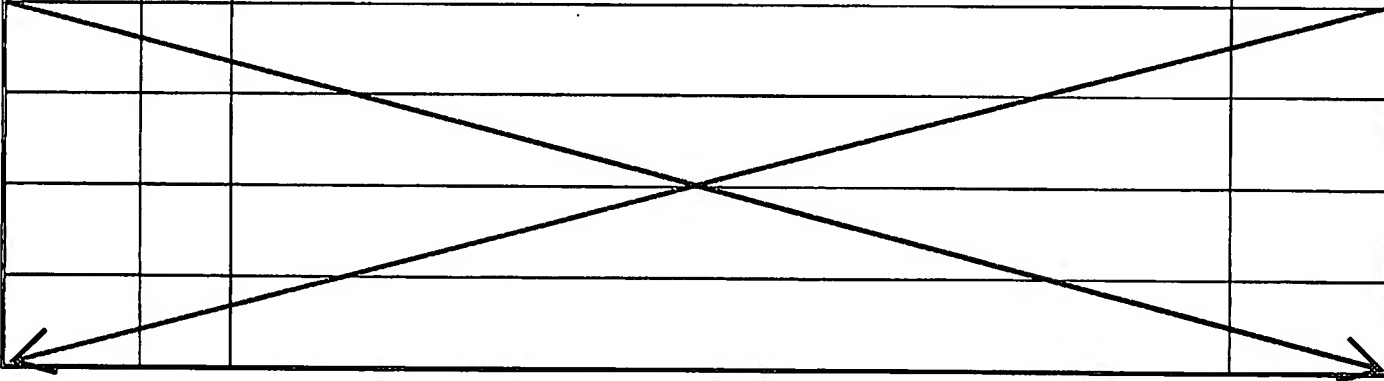
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Signature  
SUN030232NP

/Venkat Perungavoor/

Date  
Considered

06/28/2007



Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 15437-0589	Application Number: 10/763,147
		First Named Inventor: Andrew G. Tucker, et al.	
		Filing Date: 1/21/2004	
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
NPI		Communications from the ACM (ISSN: 0001-0782) Volume 44, Issue 2 (2001) entitled "An Operating System Approach to Securing E-Services" by Chris Dalton and Tse Huong Choo, ACM Copyright Notice, © 2001, (8 pgs).	
NPI		Sun Microsystems, Inc. entitled "Server Virtualization with Trusted Solaris™ 8 Operating Environment, by Glenn Faden, Sun BluePrints™ OnLine – February 2002, <a href="http://www.sun.com/blueprints">http://www.sun.com/blueprints</a> , (21 pgs).	
NPI		Network Working Group entitled "IP Version 6 Addressing Architecture", by R. Hinden, Nokia, S. Deering, Cisco System, dtd July 1998, (28 pgs).	
NPI		IBM entitled "Partitioning for the IBM eserver pSeries 690 System, © Copyright IBM Corp. 2001 (12 pgs).	
NPI		IBM System Partitioning on IBM eserver xSeries Servers entitled "Effective Server Consolidation and Resource Management with System Partitioning" by Mark T. Chapman, IBM Server Group, dtd December 2001, (23 pgs).	
NPI		Virtual Private Servers and Security Contexts, dtd 5/10/2004, <a href="http://www.solucorp.qc.ca/miscprj/s_content.fc?prjstate=1&amp;nodoc=0">http://www.solucorp.qc.ca/miscprj/s_content.fc?prjstate=1&amp;nodoc=0</a> , (2 pgs).	
NPI		SunSoft, a Sun Microsystems, Inc. Business entitled "File System Administration", © 1994 Sun Microsystems, Inc., (62 pgs).	
			
Examiner Signature	/Venkat Perungavoor/		Date Considered 06/26/2007

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PTO/SB/08a (07-05)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/763,147  
Filing Date January 21, 2004  
First Named Inventor Andrew Tucker  
Art Unit 6464  
Examiner Name Barron Jr., Gilberto  
Attorney Docket Number 15437-0589

Sheet 1

of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/NP/		US-2002/124072 A1	09-05-2002	Tormasov et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/NP/		GB 2301912 A	12-18-1996	IBM Corporation		
/NP/		WO 02/061554 A1	08-08-2002	Hewlett Packard Co.		

Examiner  
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First Named Inventor	Andrew Tucker
Art Unit	6464
Examiner Name	Barron Jr., Gilberto
Attorney Docket Number	15437-0589

Sheet 2

of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NP/		"CHMOD – Change the Permission Mode of a File," Internet Citation, December 4, 2000, XP007901399, Retrieved from the internet at <a href="http://www.cse.msu.edu/cgi-bin/man2html?chmod?1?usr/man">http://www.cse.msu.edu/cgi-bin/man2html?chmod?1?usr/man</a> , retrieved in December 4, 2006, 7 pages.	
NP/		"SETFACL – Modify the Access Control List (ACL) for a File or Files," Internet Citation, December 11, 2001, XP007901398, Retrieved from the Internet at <a href="http://www.cse.msu.edu/cgi-bin/man2html?setfac1?1?usr/man">http://www.cse.msu.edu/cgi-bin/man2html?setfac1?1?usr/man</a> , retrieved on December 4, 2006, 4 pages.	
NP/		VANCE, ASHLEE, "Solaris 10 to get Zoned," The Register, April 9, 2003, XP007901426, located on the internet at <a href="http://www.theregister.co.uk/2003/04/09/solaris">http://www.theregister.co.uk/2003/04/09/solaris</a> , retrieved on December 7, 2006, 2 pages.	
NP/		EUROPEAN PATENT OFFICE, "European Search Report," App. No. 04252691.3 (docket 15437-0667), dated December 21, 2006, 4 pages.	
NP/		Current Claims, App. No. 04252691.3 (docket 15437-0667), 7 pages.	
NP/		HALL, BRIAN "BEEJ", "Beej's Guide to Network Programming –Using Network Sockets," Copyright 1995-2001 by Brian "Beej" Hall, XP007901423, pp. 1-49.	
NP/		HALL, BRIAN "BEEJ", "Memory Mapped Files," Copyright 1997 by Brian "Beej" Hall, XP007901425, located on the internet at <a href="http://www.ecst.csuchico.edu/~beej/guide/ipc/mmap.html">http://www.ecst.csuchico.edu/~beej/guide/ipc/mmap.html</a> , retrieved on 12-07-2006, 4 pages.	
NP/		HALL, BRIAN "BEEJ", "Shared Memory Segments," Copyright 1997 by Brian "Beej" Hall, XP007901424, located on the internet at <a href="http://www.ecst.csuchico.edu/~beej/guide/ipc/shmem.html">http://www.ecst.csuchico.edu/~beej/guide/ipc/shmem.html</a> , retrieved on 12-07-2006, 5 pages.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/763,147
Filing Date	January 21, 2004
First Named Inventor	Andrew Tucker
Art Unit	6464
Examiner Name	Barron Jr., Gilberto
Attorney Docket Number	15437-0589

Sheet 3

of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NP/		HALL, BRIAN "BEEJ," "FIFOs," Copyright 1997 by Brian "Beej" Hall, XP007901420, located on the internet at <a href="http://www.ecst.csuchico.edu/beej/guide/ipc/fifos.html">http://www.ecst.csuchico.edu/beej/guide/ipc/fifos.html</a> , retrieved on 12-07-2006, 6 pages.	
NP/		IBM, "Privilege Control Mechanism, for INIX Systems," IBM Technical Disclosure Bulletin, Vol. 34, No. 7B, December 1991, XP000282651, pp. 477-479.	

Examiner  
Signature

Venkat Perungavoor/

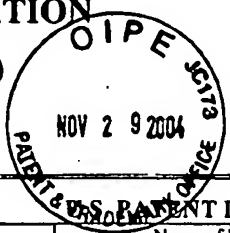
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 15437-0589		<b>SERIAL NO.</b> 10/763,147	
				<b>APPLICANT:</b> Andrew G. Tucker, et al.			
				<b>FILING DATE:</b> January 21, 2004		<b>GROUP:</b> 2157	
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
/VP/		5,325,526		Cameron, et al.	6/28/1994		
/VP/		5,845,116		Saito, et al.	12/1/1998		
/VP/		5,437,032		Wolf, et al.	6/25/1995		
<div style="text-align: center;">  </div>							

FOREIGN PATENT DOCUMENTS									
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (If known)					
/VP/		EP	1282038	A2	Tanaka, Katsuyoshi	2/5/2003			
/VP/		EP	1043658	A1	Rogier, Pierre	10/11/2000			

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/VP/		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)	
/VP/		Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)	

Examiner Signature	/Venkat Perungavoor/	Date Considered	06/28/2007
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